

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: Ho Young KIM, et al.

Serial No.: New Application      Group Art Unit: Not Yet Assigned

Filed: December 31, 2003      Examiner: Not Yet Assigned

Title: MODULATION FREQUENCY TUNABLE OPTICAL OSCILLATOR

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

December 31, 2003

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant(s), through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached.

In accordance with 37 CFR §1.97(b)(1) or (2), this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, or within three months of the date of entry into the national stage of the above-identified application as set forth in 37 CFR §1.491. Accordingly, no fee is required.

Respectfully submitted,

JACOBSON HOLMAN, PLLC

By: 

Yoon S. Ham

Registration No. 45,307

400 Seventh Street, N.W.  
Washington, DC 20004  
(202) 638-6666

Atty. Dkt. No.: P69429US0  
YSH:kyc

**JACOBSON HOLMAN, PLLC**  
 400 SEVENTH STREET, N.W.  
 WASHINGTON, D.C. 20004-2201

## LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: P69429US0 GROUP ART UNIT: Not Yet Assigned  
 SERIAL NO.: New Application FILING DATE: December 31, 2003  
 APPLICANT(S): Ho Young KIM, et al. TODAY'S DATE: December 31, 2003

\*\*\*\*\*  
U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	INT'L CLASS	SUB- CLASS	FILING DATE (If Appropriate)
_____	AA	<u>5,917,969</u>	<u>6/29/99 Gavrilovic et al.</u>	<u>G02B</u>	<u>6/26</u>	<u>2/17/98</u>
_____	AB	<u>6,134,250</u>	<u>10/17/00 Koren et al.</u>	<u>H01S</u>	<u>3/30</u>	<u>5/14/98</u>
_____	AC	<u>H1,926</u>	<u>12/05/00 Carruthers et al.</u>	<u>H01S</u>	<u>3/00</u>	<u>4/01/97</u>

\*\*\*\*\*  
FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES) (NO)
_____	AD	_____	_____	_____	_____

\*\*\*\*\*  
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

\_\_\_\_\_ AE Henrik Ahlfeldt et al.; "20GHz BANDWIDTH OF LASERS FLIP-CHIP-MOUNTED ON MICROSTRUCTURED CARRIERS WITH INTEGRATED ELECTRICAL WAVEGUIDES"; ECOC'98, 20-24; September 1998, Madrid, Spain; pp. 205-206.

\_\_\_\_\_ AF Hiroaki Sanjoh et al.; "A Precisely Spaced Multiwavelength Light Source with a Pulsed Serrodyne Frequency Shifter in an Optical Ring Circuit"; Tunable And Multiwavelength Lasers 3.3.5.

\_\_\_\_\_ AG Ho-Young Kim et al.; "Millimeterwave frequency modulated lasers using the wavelength dependent birefringences inside the coupled fiber cavity"; P-7; pp. 125-129.

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).